



Sector \$3

PTO/SB/21 (08-00)

22883

Approved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	09/827,268
		Filing Date	Apr 4, 2001
		First Named Inventor	Kasriel
		Confirmation No.	4357
		Examiner Name	NYA
Total Number of Pages in This Submission	8	Attorney Docket Number	155.1003.02

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached \$600.00	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Declaration by Inventors Statement Under 37 CFR 3.73(b) Return Postcard
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Steven A. Swernofsky	Reg. no. 33,040
Signature		
Date	7/31/01	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: 7-31-01			
Type or printed name	Arlette Malhas, Paralegal		
Signature		Date	7-31-01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



22883

PTO/SB/17 (11-00)

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PATENT TRADEMARK OFFICE and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FREE TRANSMITTAL for FY 2001 <i>Patent fees are subject to annual revision.</i>		Complete if Known	
		Application Number	09/827,268
		Filing Date	4/4/2001
		First Named Inventor	Kasriel, et al.
		Examiner Name	NYA
		Confirmation No.	4357
TOTAL AMOUNT OF PAYMENT	(\$600.00)	Attorney Docket No.	155.1003.02

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)					
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:		3. ADDITIONAL FEES					
Deposit Account Number	50-0365	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid		
Deposit Account Name	Swernofsky Law Group	105	130	205	65	Surcharge - late filing fee or oath	65.00
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		139	130	139	130	Non-English specification	
2. <input checked="" type="checkbox"/> Payment Enclosed:		147	2,520	147	2,520	For filing a request for reexamination	
<input checked="" type="checkbox"/> Check	<input type="checkbox"/> Credit card	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
<input type="checkbox"/> Money Order	<input type="checkbox"/> Other	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION		115	110	215	55	Extension for reply within first month	
1. BASIC FILING FEE		116	390	216	195	Extension for reply within second month	
Large Entity Fee Code	Small Entity Fee Code	117	890	217	445	Extension for reply within third month	
101	710	118	1,390	218	695	Extension for reply within fourth month	
106	320	128	1,890	228	945	Extension for reply within fifth month	
107	490	119	310	219	155	Notice of Appeal	
108	710	120	310	220	155	Filing a brief in support of an appeal	
114	150	121	270	221	135	Request for oral hearing	
SUBTOTAL (1)		138	1,510	138	1,510	Petition to institute a public use proceeding	
(\$355.00)		140	110	240	55	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES		141	1,240	241	620	Petition to revive - unintentional	
Total Claims	40	142	1,240	242	620	Utility issue fee (or reissue)	
Independent Claims	3	143	440	243	220	Design issue fee	
Multiple Dependent		144	600	244	300	Plant issue fee	
Large Entity Fee Code		122	130	122	130	Petitions to the Commissioner	
Small Entity Fee Code	203	123	50	123	50	Petitions related to provisional applications	
103	18	126	240	126	240	Submission of information Disclosure Stmt	
102	80	581	40	581	40	Recording each patent assignment per property (times number of properties)	
104	270	146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
109	80	149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
110	18	179	710	279	355	Request for Continued Examination (RCE)	
SUBTOTAL (2)		169	900	169	900	Request for expedited examination of a design application	
(\$180.00)		Other fee (specify)					
**or number previously paid, if greater; For Reissues, see below		SUBTOTAL (3)				(\$65.00)	

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Steven A. Swernofsky	Registration No. (Attorney/Agent)	33,040	Telephone	650-947-0700
Signature	<i>ASwernofsky</i>	Date	7/2/01		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/827,268	04/04/2001	Stephane Kasriel	155.1003.02

22883
SWERNOFSKY LAW GROUP
P O BOX 390013
MOUNTAIN VIEW, CA 94039-0013

CONFIRMATION NO. 4357

FORMALITIES LETTER



OC000000006165235

Date Mailed: 06/08/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/08/2001 SMINASS1 00000036 09827268

FILED UNDER 37 CFR 1.53(b)

01 FC:201	355.00 OP
02 FC:203	180.00 OP
03 FC:205	65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$180.
 - \$180 for 20 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 600.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE